



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**SWENSRUD et al.**

Serial No.: 10/658,504

Filed: eptember 8, 2003

Art Unit: 2834

Examiner: Not yet assigned

Atty. Docket No.: 03-389-US

**BOLTED CONNECTOR FOR  
ELECTRICAL EQUIPMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on the enclosed Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

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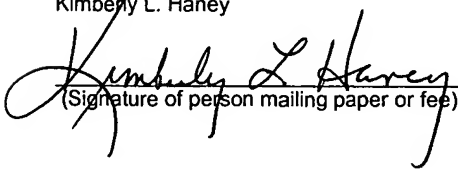
☒ deposited with the United States Postal Service on January 30, 2004, with sufficient postage as first-class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kimberly L. Haney

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☐ transmitted by facsimile on [date] to the U.S. Patent and Trademark Office.

Type Signature Name

  
(Signature of person mailing paper or fee)

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(Signature of person mailing paper or fee)

1. ☒ Enclosed is PTO Form 1449 (and a duplicate thereof).
2. ☐ Items listed on the enclosed Form PTO-1449 are not in the English language.
- ☐ For each of the following items, an English-language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
- ☐ For each of the following items, a concise explanation of that item is incorporated in the specification of the above-identified application:
- ☐ For each of the following items, a corresponding U.S. or other English-language patent is enclosed. Please consider the U.S. or other English-language patents in accordance with the procedure set forth in MPEP § 609:

3. ☐ **Submission in Accordance with 37 CFR § 1.98(d).**

Any copy of the items listed on the enclosed Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in Application Serial No. [Ser. No.], filed [Date], of which the present application relies upon for an earlier filing date under 35 U.S.C. 120.

4. ☒ **Submission in Accordance with 37 CFR § 1.97(b).**

This Information Disclosure Statement is being filed

- ☐ With the application;
- ☐ Within three months of the filing date of a national application;
- ☐ Within three months of the date of entry of the national stage in an international application; or
- ☒ Before the mailing date of a first Office Action on the merits,

and NO FEE is due for consideration of this Information Disclosure Statement.

5. ☐ **Submission in Accordance with 37 CFR 1.97(c).**

This Information Disclosure Statement is being filed before the first mailing date of either

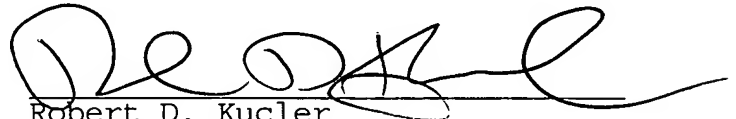
- ☐ A final action under § 1.113; or
- ☐ A notice of allowance under § 1.311.
- ☐ A fee is due for consideration of this Information Disclosure Statement and the fee is paid below; or
- ☐ This Information Disclosure Statement is accompanied by one of the certifications pursuant to 37 CFR § 1.97(e), and NO FEE is due for consideration of this Information Disclosure Statement.
6. ☐ **Submission in Accordance with 37 CFR 1.97(d).**
- This Information Disclosure Statement is being filed after the mailing date of either
- ☐ A final action under § 1.113; or
- ☐ A notice of allowance under § 1.311,
- whichever comes first, but before payment of the issue fee, and is accompanied by
- a. One of the certifications pursuant to 37 CFR § 1.97(e), which is set forth below;
- b. The attached petition requesting consideration of this Information Disclosure Statement; and
- c. The petition fee under 37 CFR § 1.17(i)(1), which is paid below.
7. **Certification Pursuant to 37 CFR 1.97(e).**
- ☐ The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ The undersigned certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

8. Fee Payment Being Made.

- ☐ Enclosed
- ☐ \$180.00 fee for submission under 37 CFR § 1.97(c).
- ☐ \$180.00 fee for submission under 37 CFR § 1.97(d).
- ☐ A check in the amount of \$[Amount] to cover the fee payment is enclosed.
- ☐ Charge the fee to Deposit Account No. 18-0582. A duplicate copy of this communication is attached.
- ☒ The Director is hereby authorized to charge payment of any additional fees associated with this Information Disclosure Statement or credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this communication is enclosed.

Respectfully submitted,

Dated: January 30, 2004

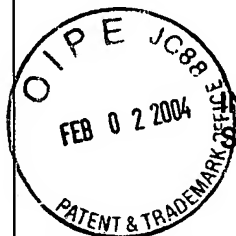


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Attorney for Applicants

**FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(MODIFIED) U.S. PATENT AND TRADEMARK OFFICE**



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(37 CFR 1.98(b))

**ATTY. DOCKET NO.**

03-389-US

**SER. NO.**

10/658,504

**APPLICANT**

SWENSRUD et al.

**FILING DATE**

September 8, 2003

**GROUP**

2834

**U.S. PATENT DOCUMENTS**

Examiner Initial	Cite No.	Patent Number	Issue Date	Patentee	Class/ Subclass	Filing Date
		1,276,085	8/1918	Pontois		
		2,428,570	10/1947	Jones		
		3,212,046	10/1965	Abel et al.		
		3,806,767	4/1974	Lehrfeld		
		3,859,549	1/1975	Boesel		
		4,056,880	11/1977	Stewart		
		4,078,150	3/1978	Daugherty et al.		
		4,172,243	10/1979	Daugherty et al.		
		4,172,984	10/1979	Daugherty et al.		
		4,199,700	4/1980	Daugherty et al.		
		4,380,362	4/1983	Swensrud et al.		
		4,594,522	6/1986	Fujiwara et al.		
		4,627,680	12/1986	Weimer et al.		
		4,638,199	1/1987	Brem et al.		
		4,806,807	2/1989	Levino		
		4,894,575	1/1990	Nilsson et al.		
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		5,261,830	11/1993	Jego et al.		
		5,270,598	12/1993	Holly, III et al.		
		5,355,046	10/1994	Weigelt		
		5,789,840	8/1998	Gould et al.		
		6,373,165	4/2002	Rowe		

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

Examiner Initial	Cite No.	Document Number	Publication Date	Country or Patent Office	Class/ Subclass	Translation Yes/No

**OTHER DOCUMENTS**

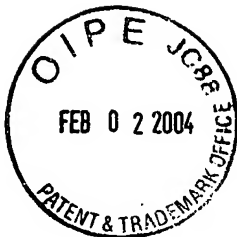
(Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial	Cite No.	

EXAMINER	DATE CONSIDERED

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# ReedSmith



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January 30, 2004

Commissioner for Patents  
P.O. Box 1450  
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Re: Applicants: SWENSRUD et al.  
Title: BOLTED CONNECTOR FOR  
ELECTRICAL EQUIPMENT  
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Art Unit: 2834  
Docket No.: 03-389-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. An Information Disclosure Statement;
2. A Form PTO-1449 with copies of references attached; and
3. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Commissioner for Patents  
January 30, 2004  
Page 2

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The Director is authorized to charge any additional payment due in connection with this submission, or to credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

Very truly yours,

REED SMITH LLP

By

A handwritten signature in black ink, appearing to read 'Robert D. Kucler', written over a horizontal line.

Robert D. Kucler